

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Higgins

Serial No. 10/797,513

Filed: March 10, 2004

Confirmation No. 4226

For: **METHOD FOR IN-FURNACE**

**REDUCTION FLUE GAS ACIDITY**

Examiner: S. E. Suereth

Art Unit: 3749

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**REMARKS**

Applicant wishes to update the Office on the status of two of the disclosed, co-pending and commonly owned applications, United States Patent Application No. 10/798,088; and United States Application No. 10/797,272. These applications and the current application, share a common priority claim to Provisional Application No. 60/544,724 filed, 14 February 2004. These applications were both previously disclosed by supplemental IDS. Their common priority is set forth in each specification. In the interest of full disclosure, Applicant would also like to update the Office on the status of their prosecution.

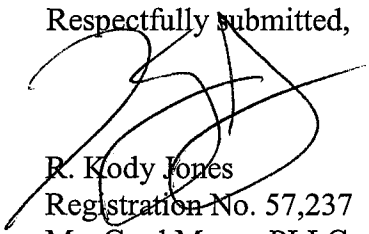
Regarding Application No. 10/798,088, a notice of allowance was recently received. A copy of that Notice of Allowance is attached.

Regarding Application No. 10/797,272, no claims are yet allowed. A copy of the most recent Office Action is attached.

**Conclusion**

By this amendment, Applicant submits that he has placed the case in condition for immediate allowance and such action is respectfully requested. However, if any issue remains unresolved, Applicant's attorney would welcome the opportunity for a telephone interview to expedite allowance and issue.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'R. Kody Jones', is written over the typed name and registration number.

R. Kody Jones  
Registration No. 57,237  
MacCord Mason PLLC  
P. O. Box 2974  
Greensboro, North Carolina 27402  
(336) 273-4422

Date: 17 February 2009  
File No. 7340-012



# UNITED STATES PATENT AND TRADEMARK OFFICE

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## NOTICE OF ALLOWANCE AND FEE(S) DUE

4678 7590 01/29/2009

MACCORD MASON PLLC  
300 N. GREENE STREET, SUITE 1600  
P. O. BOX 2974  
GREENSBORO, NC 27402

EXAMINER

JOHNSON, EDWARD M

ART UNIT

PAPER NUMBER

1793

DATE MAILED: 01/29/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/798,088

03/11/2004

Brian S. Higgins

7340-012

6044

TITLE OF INVENTION: METHOD FOR IN-FURNACE REGULATION OF SO<sub>3</sub> IN CATALYTIC NOX REDUCING SYSTEMS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/29/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

#### I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to:** Mail **Mail Stop ISSUE FEE**  
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**or Fax (571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

4678 7590 01/29/2009  
**MACCORD MASON PLLC**  
**300 N. GREENE STREET, SUITE 1600**  
**P. O. BOX 2974**  
**GREENSBORO, NC 27402**

## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/798,088	03/11/2004	Brian S. Higgins	7340-012	6044

**TITLE OF INVENTION: METHOD FOR IN-FURNACE REGULATION OF SO3 IN CATALYTIC NOX REDUCING SYSTEMS**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/29/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
JOHNSON, EDWARD M	1793	423-239100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_

Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/798,088	03/11/2004	Brian S. Higgins	7340-012	6044

4678 7590 01/29/2009  
MACCORD MASON PLLC  
300 N. GREENE STREET, SUITE 1600  
P. O. BOX 2974  
GREENSBORO, NC 27402

EXAMINER
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JOHNSON, EDWARD M

ART UNIT	PAPER NUMBER
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1793

DATE MAILED: 01/29/2009

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 992 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 992 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

**Notice of Allowability**

Application No.

10/798,088

Applicant(s)

HIGGINS, BRIAN S.

Examiner

Edward M. Johnson

Art Unit

1793

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to Applicant's amendment and IDS filed on 12/23/08.
2. ☒ The allowed claim(s) is/are 1-8 and 17-25, which have been renumbered 1-17, respectively.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 12/08
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

/Edward M. Johnson/  
Primary Examiner  
Art Unit: 1793



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/797,272

03/10/2004

Brian S. Higgins

7340-010

2948

4678 7590 10/15/2008  
MACCORD MASON PLLC  
300 N. GREENE STREET, SUITE 1600  
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GREENSBORO, NC 27402

EXAMINER

RINEHART, KENNETH

ART UNIT

PAPER NUMBER

3743

MAIL DATE

DELIVERY MODE

10/15/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/797,272

Applicant(s)

HIGGINS, BRIAN S.

Examiner

KENNETH B. RINEHART

Art Unit

3749

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 28 August 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 December 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |



## DETAILED ACTION

### *Response to Arguments*

Applicant's arguments filed 1/16/08 have been fully considered but they are not persuasive. The applicant argues that the specification describes numerous of actively adjusting the reducing environment and describes levels of SO<sub>3</sub> desirable for optimizing precipitator function. The examiner disagrees. The specification lists 7 parameters to increase the residence time and 4 parameters to increase the reducing potential in the flue gases. The specification has few details as to what values these parameters should be in order to enable the invention. Consequently the specification is not enabling as undue experimentation would be required. Regarding the SO<sub>3</sub> levels, the applicant does provide these levels, however, these levels are merely the end result of the method and does not inform one of ordinary skill how the result is accomplished. The test data on page 13 refer to the "results that can be achieved" and the "effects" which are not enabling as it merely informs one of the end state and not how it was achieved. Regarding the applicant's arguments concerning Carver et al the reference reads on the broad claim limitation when read in light of the specification. On page 13 of the specification there are 7 parameters to increase the residence time and 4 parameters to increase the reducing potential in the flue gases and the carver reference clearly incorporates active adjustments to achieve low levels of SOX. The various ranges and desired low values illustrate the active adjustment just as precisely as the applicant's specification.

### *Claim Rejections - 35 USC § 112*

The following is a quotation of the first paragraph of 35 U.S.C. 112:

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The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-24 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claims refer to "actively adjusting the reducing environment such that SO<sub>3</sub> is reduced to SO<sub>2</sub> to effectuate an overall decrease in SO<sub>3</sub> concentration prior to selective catalytic reduction to achieve a desirable level of SO<sub>3</sub> for optimizing precipitator function; actively adjusting the reducing environment such that SO<sub>3</sub> is reduced to SO<sub>2</sub> to effectuate an overall decrease in SO<sub>3</sub> concentration and achieve a desirable level of SO<sub>3</sub> for optimizing precipitator function; actively adjusting the reducing environment time period such that SO<sub>3</sub> is preferentially reduced to SO<sub>2</sub> to achieve a desirable level of SO<sub>3</sub> for optimizing precipitator function; which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claims 1-24 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claim 1 refers to actively adjusting the reducing environment such that SO<sub>3</sub> is reduced to SO<sub>2</sub> to effectuate an overall decrease in SO<sub>3</sub> concentration prior to selective catalytic reduction to achieve a desirable level of

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SO3 for optimizing precipitator function” which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claim 9 refers to “actively adjusting the reducing environment such that SO3 is reduced to SO2 to effectuate an overall decrease in SO3 concentration achieve a desirable level of SO3 for optimizing precipitator function” which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claim 17 refers to “actively adjusting the reducing environment time period such that SO3 is preferentially reduced to SO2 to achieve a desirable level of SO3 for optimizing precipitator function” which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 9-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kindig (4824441) in view of Wright (5,032,154) and Carver (4381718). Kindig discloses a) partially combusting the fuel in a first stage to create a reducing environment (col. 10, lines 51-54), b) adjusting the reducing environment such that SO3 is reduced to SO2 to achieve a desirable level of SO3 ...; (col. 13, lines 8-23, SO3 and SO2 are inherently produced during combustion, and

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reduction is inherently occurring.), c) combusting the remainder of the fuel and combustion intermediates in a second stage with oxidizing environment, combusting the remainder of the fuel in an oxidizing environment (col. 10, lines 43-47), thereby controlling the levels of SO<sub>3</sub> in the flue gases, reducing the conversion of levels of SO<sub>3</sub> in the flue gases, thereby controlling the levels of SO<sub>3</sub> in the flue gases (col. 13, lines 20-22), micro-staging the first stage fuel combustion, the micro-staging is provided through the use of low-Nox burners (col. 12, line 43), macro-staging the first stage of fuel combustion, the macro-staging is provided through the use of over-fired air (col. 10, lines 46), including a combination of micro-staging and macro-staging (col. 12, line 43, col. 10, line 46), the micro-staging is provided by low-Nox burners and the macro-staging is provided by over-fired air (col. 12, line 43, col. 10, line 46), the fuel is coal (col. 1, line 16). Kindig discloses applicant's invention substantially as claimed with the exception of for optimizing precipitator function. Wright teaches for optimizing precipitator function (col. 1, lines 27-61) for the purpose of meeting clean air requirements. It would have been obvious to one of ordinary skill in the art to modify Kindig by including for optimizing precipitator function as taught by Wright for the purpose of meeting clean air requirements. Carver et al teaches actively adjusting, effectuate an overall decrease in SO<sub>3</sub> concentration (abstract, figs.) for the purpose of meeting environmental regulations. It would have been obvious to one of ordinary skill in the art to modify Kindig by including actively adjusting, effectuate an overall decrease in SO<sub>3</sub> concentration as taught by Carver for the purpose of meeting environmental regulations. The applicant is combining prior art elements according to known methods to yield predictable results.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-3, 8, 9-11, 16, 17-19, 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Carver et al (4381718) in view of Fan (2004/0120872) and Wright (5,032,154). Carver discloses partially combusting the fuel in a first stage to create a reducing environment (1, fig. 1), b) actively adjusting the reducing environment such that SO<sub>3</sub> is reduced to SO<sub>2</sub> to effectuate an overall decrease in SO<sub>3</sub> concentration prior to ... to achieve a desirable level of SO<sub>3</sub>; (2 to 3, SO<sub>3</sub> and SO<sub>2</sub> are inherently produced during combustion, and reduction is inherently occurring, residence time adjusted prior to lean stage, Abstract, figs.), c) combusting the remainder of the fuel and combustion intermediates in a second stage with oxidizing environment, combusting the remainder of the fuel in an oxidizing environment, thereby controlling the levels of SO<sub>3</sub> in the flue gases, reducing the conversion of levels of SO<sub>3</sub> in the flue gases, thereby controlling the levels of SO<sub>3</sub> in the flue gases (4, fig. 1), micro-staging the first stage fuel combustion, the micro-staging is provided through the use of low-Nox burners (col. 5, line 23), the fuel is coal (fig. 1). Carver discloses applicant's invention substantially as claimed with the exception of selective catalytic reduction, for optimizing precipitator function. Fan teaches selective catalytic reduction (44, fig. 1) for the purpose of reducing emissions. It would have been obvious to one of ordinary skill in the art to modify Carver et al by including selective catalytic reduction as taught by Fan for the purpose of reducing emissions to meet environmental requirements. Carver in view of Fan discloses applicant's invention substantially

as claimed with the exception of for optimizing precipitator function. Wright teaches for optimizing precipitator function (col. 1, lines 27-61) for the purpose of meeting clean air requirements. It would have been obvious to one of ordinary skill in the art to modify Carver by including for optimizing precipitator function as taught by Wright for the purpose of meeting clean air requirements.

Claims 4-7, 12-15, 20-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Carver et al (4381718) in view of Fan (2004/0120872) as applied to claim 1,9,17 above, respectively, and further in view of Kindig (4824441). Carver et al (4381718) in view of Fan (2004/0120872) discloses applicant's invention substantially as claimed with the exception of macro-staging the first stage of fuel combustion, the macro-staging is provided through the use of over-fired air, including a combination of micro-staging and macro-staging, the micro-staging is provided by low-Nox burners and the macro-staging is provided by over-fired air. Kindig teaches macro-staging the first stage of fuel combustion, the macro-staging is provided through the use of over-fired air (col. 10, lines 46), including a combination of micro-staging and macro-staging (col. 12, line 43, col. 10, line 46), the micro-staging is provided by low-Nox burners and the macro-staging is provided by over-fired air (col. 12, line 43, col. 10, line 46) for the purpose of reducing emissions. It would have been obvious to one of ordinary skill in the art to modify Carver by including macro-staging the first stage of fuel combustion, the macro-staging is provided through the use of over-fired air, including a combination of micro-staging and macro-staging, the micro-staging is provided by low-Nox burners and the macro-staging is provided by over-fired air as taught by Kindig for the purpose of reducing emissions.

***Conclusion***

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**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication should be directed to **KENNETH B. RINEHART** at telephone number (571)272-4881.

/Kenneth B Rinehart/

Supervisory Patent Examiner, Art Unit 3743